

Gautami Gupta & Associates

Company Secretaries

Office: 69/208, Danakhori, Kanpur -208001

Email: csgautami95@gmail.com

Contact: 7275023050

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED MARCH 31, 2022

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. April 01, 2019. SEBI vide its press release no. 12/2019 dated March 27, 2019 clarified that transfer deed(s) lodged prior to deadline i.e. April 1, 2019 and returned due to deficiency in the documents may be re-lodged with requisite documents. Further, SEBI vide its circular dated September 7, 2020 fixed March 31, 2021 as the cut-off date for re-lodgement of transfer deeds and the shares that were re-lodged for transfers shall be issued only in demat mode. SEBI had also specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 2, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of relevant documents and to the best of our information and explanation given to us relating to shares of **CARBON SPECIALITIES LIMITED** ('the Company') having its Registered Office at **7/181A Duplex Bunglow Unit No.4 Swaroop Nagar Kanpur UP 208002**, maintained and provided electronically by **ABS Consultant Private Limited**, Registrar and Share Transfer Agent ('RTA') pertaining to period ended on **March 31, 2022** ('period') for the purpose of issuing a Certificate under **sub-regulation (9) of Regulation 40** of the **SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**, we hereby certify that :

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- A. There were no requests / cases of transfer, transmission, sub-division (split), consolidation and renewal, transposition of shares, exchange or endorsement of calls/allotment monies during the period.
- B. The Company has not issued any debentures, hence the reporting of the same is not applicable.

For GAUTAMI GUPTA & ASSOCIATES

Company Secretaries

Gautami Gupta

(Proprietor)

ACS No.: 57547 CP. No. : 21796

UDIN: A057547D000250071

Date: April 30, 2022

Place: Kanpur